

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademurk Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/661,373

09/12/2003

Kyosuke Achiwa

16869P-094500US

Date Mailed: 01/20/2004

CONFIRMATION NO. 6683

FORMALITIES LETTER

OC000000011716043*

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834**

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/18/2004 FFANAIA2 00000003 201430

10661373 P.O. Box 1450

02 FC:1001 03 FC:1051 770.00 DA 130.00 DA

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Ub

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

•									
POT	P:		Application Number	T _{10/}	PTO/SB/21 (04-04)				
TRANSMITTAL			Filing Date		ptember 12, 2003				
AUG		1	First Named Inventor	⊹ —-	· · · · · · · · · · · · · · · · · · ·				
4 //2	消	!	Art Unit	+	hiwa, Kyosuke				
de (10	be used for all dorrespondence after initial filir	ng)		215	· · · · · · · · · · · · · · · · · · ·				
Ser.	AN ENTERNATION		Examiner Name	Una	assigned				
Tota	al Number of Pages in This Submission	!	Attorney Docket Number	168	869P-094500US				
		ENC	CLOSURES (Check all that a	apply					
\boxtimes	Fee Transmittal Form		Drawing(s)		After Allowance Communication to Technology Center (TC)				
_	Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
	Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
	After Final		Petition to Convert to a Provisional Application		Proprietary Information				
	Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address	ess	Status Letter				
	Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):				
	Express Abandonment Request		Request for Refund		Declaration/Power of Attorney Copy of Notice				
\boxtimes	Information Disclosure Statement	1	· ·		3. Supplemental ADS				
	J	1			Assignment Papers				
	J		CD, Number of CD(s)		5. SB08A/B and 14 cited references				
l	,				6. Return Postcard zed to charge any additional fees to Deposit				
	Certified Copy of Priority Document(s)	Rema	Account 20-1430.						
	Response to Missing Parts/ Incomplete Application		uant to the Notice to File Missing Parts of Nonprovisional Application, dated January 20, the enclosures listed on this sheet are to be made of record in the above-identified						
	Response to Missing Parts	1							
	under 37 CFR 1.52 or 1.53	1							
		1							
	SIGNA	TUDE (OF APPLICANT, ATTORNE	<u> </u>	D ACENT				
Firm	Townsend and Towns			=1, ~	JR AGENT				
or		enu and		Ma	^7 470				
Signatu	ual name George B. F. Yee	Z.F	1/6g.	NO	37,478				
Date	7/10/8	<u> </u>	-fu-						
	CF	ERTIFIC	CATE OF TRANSMISSION	/MAI	LING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									

Typed or printed name

ROGER HYLTON

Signature

Date 8 12 04

	O I		PTO/SB/17 (10-03)
6	FEE TRANSMITTAL		Complete if Known
/		Application Number	10/661,373
BUA	16 2004	Filing Date	September 12, 2003
F.	Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Achiwa, Kyosuke
W.	Cant claims small entity status. See 37 CFR 1.27	Examiner Name	Unassigned
		Art Unit	2155
	TOTAL AMOUNT OF PAYMENT (\$) 2950	Attorney Docket No.	16869P-094500US

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Credit Card Money Order Other None				3. ADD	3. ADDITIONAL FEES				
Deposit Account:				Large	Entity	Small	Entity		
Deposit		Fee	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee		
Account Number	20-143	30		Code 1051	130	2051	65	Surcharge - late filing fee or oath	Paid 130
				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	1.50
Deposit Account	Townsend	d and Townsend and Crew	LLP	1053	130	1053	130	Non-English specification	
Name		<u></u>		1812	2,520	1812	2.520	For filing a request for reexamination	
K-21		(check all that apply)		1804	920*	1804	920*	Requesting publication of SIR prior to	
	indicated belo	w 🔀 Credit any overpaymen	nts					Examiner action	
Charge any a	dditional fee(s)	or any underpayment of fee(s)		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		w, except for the filing fee		1051	110	2251	55		
to the above-ident				1251 1252	110 420	2252	210	Extension for reply within first month Extension for reply within second month	
	FEE	CALCULATION	·····	1232	420	2252	210	Extension for reply within second month	
1. BASIC FIL	ING FEE			1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity	_		1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee	Fee Description	Fee Paid						
117	Code (\$) 2001 385	Utility filing fee	770	1255	2,010	2255	1,005	Extension for reply within fifth month	2010
	2001 363	Design filing fee	770	1401	330	2401	165	Notice of Appeal	
	2003 265	Plant filing fee	· · · · · · · · · · · · · · · · · · ·	1402	330	2402	165	Filing a brief in support of an appeal	
	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	SUBTO	TAL (4) (0	1770	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)770			1453	1,330	2453	665	Petition to revive – unintentional		
2. EXTRA CL	AIM FEES F	OR UTILITY AND REISSU	UE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from				1502	480	2502	240	Design issue fee	
Extra Claims below Fee Paid				1503	640	2503	320	Plant issue fee	
Total Claims 12	-20** =	0 💢\$18 =	\$0	1460	130	1460	130	Petitions to the Commissioner	
Independent 2	-3** =	0	so	1807	50	1807	50	Petitions related to provisional applications	
Multiple	(x =		1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent Large Entity	Small Entity	`\	L	8021	40	8021	40	Recording each patent assignment per	40
Fee Fee	Fee Fe	e s. D						property (times number of properties)	
Code (\$)	Code (\$)		n	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 1201 86		Independent claims in		1810	770	2810	385	For each additional invention to be	
1203 290	2203 14				•			examined (37 CFR § 1.129(b)) Request for Continued Examination	
1204 86	2204 4	Reissue independer over original patent		1801	770	2801	385	(RCE)	
1205 18	2205	9 ** Reissue claims in ea and over original pa		1802	900	1802	900	Request for expedited examination of a design application	
	SU	JBTOTAL (2) (\$)0		Other fe	e (specify)				
**or number previously paid, if greater, For Reissues, see above				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)2180					
				+					

SUBMITTED BY Complete (if applicable)										
Name (Print/Type)	George B. F. Yee	Registration No. (Attorney/Agent)	37,478	Telephone	650-326-2400					
Signature	Deurs	Poly		Date	8/12 04					